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LEGAL DEPARTMENT

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## FACSIMILE TRANSMITTAL SHEET

TO: Examiner Peter B. Kim  
Group Art Unit 2851

FROM: Kenneth C. Brooks  
Reg. No. 38,393

COMPANY:  
United States Patent and Trademark Office

DATE:  
August 5, 2004

FAX NUMBER:  
703-872-9306

TOTAL NO. OF PAGES INCLUDING COVER:  
5

RE:

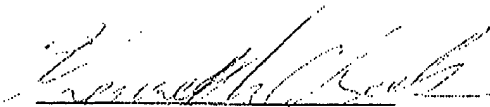
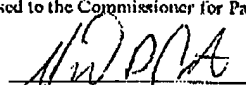
Information Disclosure Statement  
U.S. Patent Application No. 10/747,795  
Filing Date: Dec. 29, 2003  
Entitled: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS  
Our Ref. No.: PA96-38D13

Please see attached:

Transmittal Form  
Information Disclosure Statement  
Form 1449

KCB:mdc

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<b>AMENDMENT TRANSMITTAL LETTER</b>		Attorney Docket Number: PA96-38D13	
Application Number: 10/747,795	Filing Date: December 29, 2003	Examiner: Peter B. Kim	Group Art Unit: 2851
Invention: <b>IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS</b>			
<b>TO THE COMMISSIONER FOR PATENTS:</b>			
Transmitted herewith are the following documents in the above-identified application. The fee has been calculated as shown below.			
<input type="checkbox"/> Amendment ( page(s)), <input type="checkbox"/> Petition is hereby made under 37 CFR 1.136(a) to extend the time for response to the Office Action of to and through , comprising an extension of the shortened statutory period of: month(s) ( page(s)), <input checked="" type="checkbox"/> Information Disclosure Statement (3 page(s)), including Transmittal (1 page(s)), PTO Form 1449 (1 page(s)), and copies of reference(s) <input type="checkbox"/> ( page(s)), <input type="checkbox"/> Return Receipt Postcard			
<b>CLAIMS AS AMENDED</b>			
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR
TOTAL CLAIMS		MINUS	
INDEP. CLAIMS		MINUS	
Petition for Extension of Time		(1 mo. - \$110.00)	\$
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>			\$
<input type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27 <input type="checkbox"/> A check in the amount of \$ is attached. <input checked="" type="checkbox"/> Charge \$180.00 to deposit account number 502650 and credit any overpayment or debit any underpayment to the same deposit account 502650. <input type="checkbox"/> No additional fee is required, but if any fees are incurred regarding this matter kindly charge deposit account number 502650.			
date <u>8/5/04</u>		 Kenneth C. Brooks Reg. No. 38393 Legal Department Molecular Imprints, Inc. P.O. Box 81536 Austin, Texas 78708-1536 Telephone: 512-339-7760, ext. 243 Facsimile: 512-339-3799	
<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents on			
<u>August 5, 2004</u>		 Michael Carter	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sreenivasan et al.  
App. No.: 10/747,795 GPAU: 2851  
Filing Date: Dec. 29, 2003 Examiner: Peter B. Kim  
Dkt. No.: PA96-38D13 Conf. No.: 6437  
For: IMPRINT LITHOGRAPHY TEMPLATE COMPRISING ALIGNMENT MARKS

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INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following information is submitted in compliance with Applicants' duty of disclosure and pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98. The undersigned brings the patents, publications, applications or other information identified in the attached:

- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B or PTO/1449  
☐ Other:

to the Examiner's attention in the above-identified application. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

FEES DUE

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.

- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.
- ☒ during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.


## CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as either First Class Mail or Express Mail, in an envelope addressed to the Commissioner for Patents.

Signed: Michael D. Carter  
Typed Name: Michael D. Carter

Date: August 5, 2004

Respectfully Submitted,

  
Kenneth C. Brooks,  
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Legal Department  
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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0851-0031  
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Substitute for form 1449A/PTO			<b>Complete If Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<b>Application Number</b>	10/747,795	
			<b>Filing Date</b>	Dec. 29, 2003	
			<b>First Named Inventor</b>	Sreenivasan et al.	
			<b>Group Art Unit</b>	2851	
			<b>Examiner Name</b>	Peter B. Kim	
<b>Sheet</b>	1	of	1	<b>Attorney Docket Number</b>	PA96-38D13

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document <sup>2</sup>		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
	B1	5,074,667		Miyatake	12-24-1991	
	B2	5,148,036		Matsugu et al.	09-15-1992	
	B3	5,151,754		Ishibashi et al.	09-29-1992	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document <sup>2</sup>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Office 3	Number <sup>4</sup>	Kind Code* (if known)				

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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